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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/052,538	01/23/2002	Tetsunori Kaji	520.35237VX3	4015	
20457	7590 02/15/2005	•	EXAM	EXAMINER	
ANTONELLI, TERRY, STOUT & KRAUS, LLP 1300 NORTH SEVENTEENTH STREET SUITE 1800 ARLINGTON, VA 22209-9889			CROWELL	CROWELL, ANNA M	
			ART UNIT	PAPER NUMBER	
			1763	· · · · · · · · · · · · · · · · · · ·	

DATE MAILED: 02/15/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
	10/052,538	KAJI ET AL.
Interview Summary	Examiner	Art Unit
	Michelle Crowell	1763
All participants (applicant, applicant's representative,	PTO personnel):	
(1) Michelle Crowell.	(3) Gregory Mills.	
(2) Greg Montone.	(4) <u>Fujimoto</u> .	
Date of Interview: 03 February 2005.		
Type: a)☐ Telephonic b)☐ Video Conference c)☐ Personal [copy given to: 1)☒ applicat		ntative]
Exhibit shown or demonstration conducted: d) Ye If Yes, brief description:	es e)⊠ No.	
Claim(s) discussed: <u>51&52 of 10/052538 and 4 and 8</u>	3 of 10/808559.	
Identification of prior art discussed: Ohmi et al. '417.	,	·
Agreement with respect to the claims f)⊠ was reach	ed. g)□ was not reached. □	h)□ N/A.
Substance of Interview including description of the generated, or any other comments: Claims in 10/05253 having the claimed range for plasma density and precancelled and the focus of this application will be on 10/052538 will overcome the present rejection. (A fuller description, if necessary, and a copy of the allowable, if available, must be attached. Also, where	38 will be amended to include ssure. In 10/808559, claims rethe discharge confining mean amendments which the examine no copy of the amendments	means plus function language related to plasma density will be s. The above amended claims in ner agreed would render the claims
allowable is available, a summary thereof must be at	tached.)	
THE FORMAL WRITTEN REPLY TO THE LAST OFF INTERVIEW. (See MPEP Section 713.04). If a reply GIVEN ONE MONTH FROM THIS INTERVIEW DATE FORM, WHICHEVER IS LATER, TO FILE A STATEM Summary of Record of Interview requirements on reverse.	to the last Office action has a E, OR THE MAILING DATE O MENT OF THE SUBSTANCE	Iready been filed, APPLICANT IS OF THIS INTERVIEW SUMMARY OF THE INTERVIEW. See
		·

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

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Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	•	ATTORNEY DOCKETT NO.
10/052538	01-23-02	Raji et al.		520, 35237VX
10/8/68 559	03-25-04	,)	<u></u>	EXAMINER
,			CROW	
			ART UNIT	
			1763	3 6205
		EXAMINER INTERVIEW SUMMARY REC	DATE MAILED:	
			JOIID	
All participants (applicant,			. ,	_
(1) Gregory	<u>m.115</u>	(3) G/ey	Monton	2
(2) M: chelle		(4)		
Date of interview		· · · · · · · · · · · · · · · · · · ·		
		en to applicant applicant's representative).		*
	_	es No. If yes, brief description:		
Agreement was reach	ed with respect to som	e or all of the claims in question. was not reach	ed.	
51	+ 52 m	10/052538 and chair	n 4 of	10/808559
Claims discussed:	v y v u	1 (11)		
Identification of prior art di	scussed: Ohm	, of al '417		
- 			<u> </u>	
Description of the general	nature of what was agr	reed to if an agreement was reached, or any other c	omments: — 1/ +W4	end claims
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to plusma	density	12 10/808559 forus on		
40 Just	Large (0	of ining means. Amende	of in the	n 10/052538
(A fuller description, if nec	essary, and a copy of the	the amendments, if available, which the examiner age ts which would render the claims allowable is availa	h . reed would render th	e claims allowable must be
·		e a separate record of the substance of the interview		of must be attached.
WAIVED AND MUST INC	LUDE THE SUBSTANC	o indicate to the contrary, A FORMAL WRITTEN RE CE OF THE INTERVIEW (e.g., items 1-7 on the reve even one month from this interview date to provide a	erse side of this form)). If a response to the last Office
requirements that	t may be present in the ments of the last Office	above (including any attachments) reflects a complete last Office action, and since the claims are now allo action. Applicant is not relieved from providing a second	wable, this complete parate record of the	d form is considered to fulfill the
PTOL-413 (REV. 2 -93)	•	Examiner's S	Ignature	

APPLICANT'S COPY

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